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J1054 U.S.

11/20/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3271.2.1

First Inventor Paul J. Hepworth

Title EXTENSIBLE APPLICATION INTERFACE USING  
MACHINE-READABLE GRAPHICAL CODES

Express Mail Label No. EV001614345US

J1054 U.S. 11/20/01

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**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27
3.  Specification [Total Pages 52]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Application
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
if foreign priority is claimed
16.  Nonpublication Request under 37 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
17.  Other: Express Mail Certificate

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: 60/279,356

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

NAME	Wesley L. Austin		
	PATENT TRADEMARK OFFICE		
ADDRESS			
CITY	STATE	ZIP	
COUNTRY	TELEPHONE		FAX

Burden Hour Statement This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D C 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, D C 20231

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

 Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 1490.00

Complete If Known	
Application Number	
Filing Date	November 20, 2001
First Named Inventor	Paul J. Hepworth
Group Art Unit	
Examiner Name	
Attorney Docket Number	3271.2.1

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account

Deposit Account Number 13-0763

Deposit Account Name MADSON &amp; METCALF

The Commissioner is authorized to (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2520	147	2520 For filing a request for reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1840*	113	1840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1440	218	720 Extension for reply within fourth month
128	1960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1510	138	1510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1280	241	640 Petition to revive - unintentional
142	1280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) _____			
*Reduced by Basic Filing Fee		SUBTOTAL (3)	40

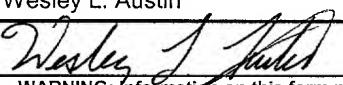
## SUBTOTAL (1)

## 2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE

Total Claims	98	-20** =	78	x	9	=	702	Extra Claims Fee from below	Fee Paid
Ind. Claims	12	- 3** =	9	x	42	=	378		
Multiple Dep. Claims			0	x	140	=	0		

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim
109	84	209 42 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		1080

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	Wesley L. Austin	Reg. Number		42,273
Signature		Date	Nov 20, 2001	Deposit Account User ID

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231

PATENT APPLICATION  
Docket No.: 3271.2.1

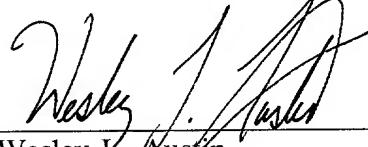
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EV001614345US

Date of Deposit: November 20, 2001

I hereby certify that this patent application in the name of Paul J. Hepworth, Dimitri V. Yatsenko, and Darren P. Smith for EXTENSIBLE APPLICATION INTERFACE USING MACHINE-READABLE GRAPHICAL CODES, together with the drawings, a joint signature Declaration, Power of Attorney, and Petition, an Assignment and Recordation Form Cover Sheet, and Two Credit Card Payment Forms for \$1450.00 (filing fee) and \$40.00 (recordation fee) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

  
\_\_\_\_\_  
Wesley L. Austin  
Reg. No. 42,273  
Attorney for Applicant

Date: November 20, 2001

MADSON & METCALF  
Gateway Tower West  
15 West South Temple, Suite 900  
Salt Lake City, Utah 84101  
Telephone: 801/537-1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 27, 2001.

Wesley J. Hepworth  
Attorney for Applicant

PATENT  
Docket No. 3271.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Paul J. Hepworth, et al.	)
		)
Serial No.:	Not Yet Assigned	) Group Art
		) Unit:
Filed:	November 20, 2001	)
		)
For:	EXTENSIBLE APPLICATION INTERFACE	)
	USING MACHINE-READABLE GRAPHICAL	)
	CODES	)

STATEMENT REGARDING UNITED STATES POSTAL SERVICE  
INTERRUPTIONS RELATING TO EXPRESS MAIL SERVICE

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Box: FILING DATE

Dear Sir:

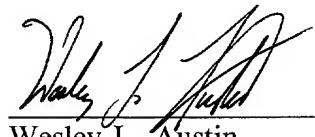
On November 20, 2001, the above-referenced application was deposited with the United States Postal Service (USPS) to be mailed by "Express Mail." The delivery of this application was also refused on November 20, 2001. The USPS returned the Express Mailing package for the above-referenced application and did not deliver the application, as

*Receipt date granted  
is 11/20/01.  
J. Chang from  
OPLA*

indicated by the notations on the package made by the USPS. Applicant respectfully requests the November 20, 2001 filing date.

The statement has bee prepared in accordance with the instructions posted on the web site of the United States Patent and Trademark Office. If there are any problems or questions relating to this correspondence or the related circumstances, please contact the undersigned.

Respectfully submitted,



\_\_\_\_\_  
Wesley L. Austin  
Reg. No. 42,273  
Attorney for Applicant

Date: November 27, 2001

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